#12.0.5 PATENT 4/14/13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 99,818)

In re A	pplication of:)	
	Mark O. Vogel et al.)	Examiner: To Be Assigned
Serial l	No. 09/538,342) .	Art Unit: 2711
Filed:	March 29, 2000)	
For:	Method for Improved Cable Modem Ranging In A Data-Over-Cable System)	

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

- 1. We are transmitting herewith the attached papers for the above-identified patent application:
 - 1) Seventh Supplemental Information Disclosure Statement (IDS) Letter
 - 2) Seventh Supplemental Information Disclosure Statement (IDS) PTO-Form 1449
 - 3) Copies of IDS Citations for 09/538,342 (5 Documents)
 - 4) Return Postcard
- 2. A check in the amount of \$180.00 is enclosed for the Filing Fee.
- GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 on this day of April, 2003.

By:

Reg. No. 43,8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 99,818)

In re A	pplicat	ion of:)	
		Mark O. Vogel et al.) Examiner: To Be Assign	sed
Serial No. 09/538,342		09/538,342) Art Unit: 2711	
	Marci	n 29, 2000)	
For:		od for Improved Cable Modem Ranging)	

SEVENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT LETTER

Commissioner for Patents P.O. Box 1450 Alexandria Virginia 22313-1450

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies of the references are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application. Also, enclosed is the requisite fee pursuant to 37 C.F.R. §§ 1.97(c) and 1.17 (p), \$180.00.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited

1

references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

CITED REFERENCES

U.S. Patent Documents

Document				
Number	Date	Name		
6,393,478	05-21-02	Bahlmann		
6,064,372	^ 05-16-00	Kahkoska		

U.S. Published Applications

Number	Date	Name
US 2003/0028891 A1	02-06-03	Hardt et al.
US 2002/0136165 A1	09-26-02	Ady et al.
US 2002/0122050 A1	09-05-02	Sandberg

Respectfully submitted,

Date: APRil 9, 2003

Ву

Parietration No. 43 8

FORM PTO-1449 (Rev. 2-32) SEVENTH SUPPLEMENTAL INFORMA STATEMENT BY APPLI (Use several sheets if neces	CANT	Atty. Docket No. 99,818	Serial No. 09/538,342
·	Applicant: Mark O. Vogel et al.		
		Filing Date: March 29, 2000	Group: 2711

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	6,393,478	05-21-02	Bahlmann	709	224	02-22-99
	2.	6,064,372	05-16-00	Kahkoska	345	173	11-27-96

U.S. PUBLISHED PATENT APPLICATIONS

Examiner Initial		Publication Number	Pub. Date	Name	Class	Subclass	Filing Date if Appropriate
	3.	US 2003/0028891 A1	02-06-03	Hardt et al.	725	107	08-03-01
,	4.	US 2002/0136165 A1	09-26-02	Ady et al.	370	241	03-23-01
	5.	US 2002/0122050 A1	09-05-02	Sandberg	345	705	12-30-00

FOREIGN PATENT DOCUMENTS

							Translation
		Document Number	Date	Country	Class	Subclass	
		·					
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

MICDONNELL BOEHNEN HULBERT & BERGHOFF 300 SOUTH WACKER DRIVE CHICAGO, BLINDIS 80808 THE FACE OF THIS CHECK IS PRINTED BLUE - THE BACK CONTAINS A SIMULATED WATERMARK

mbhb

McConnell Boehnen Hulbert & Berghoff Law Offices 300 South Wacker Drive Chicago, Illinois 60606-6709 www.mbhb.com

USBANK 70.477.719 Nº 6046

CHECK NO.

CHECK DATE 04/03/2003 VENDOR NO.

PAY

ONE HUNDRED EIGHTY AND 00/100 Dollars

TO THE ORDER OF

U.S. Patent & Trademark Office

CHECK AMOUNT

*** *** 180.00

#O60468# #O71904779#

4170796294

Hon. Commissioner of

S/N: 09/538,342

tty EP:hm

Patents and Trademarks

Re: Applicant - Mark O. Vogel et al.

Case No. 99,818

"Method for Improved Cable Modern Ranging in a Data-Over-Cable System"

Sir:

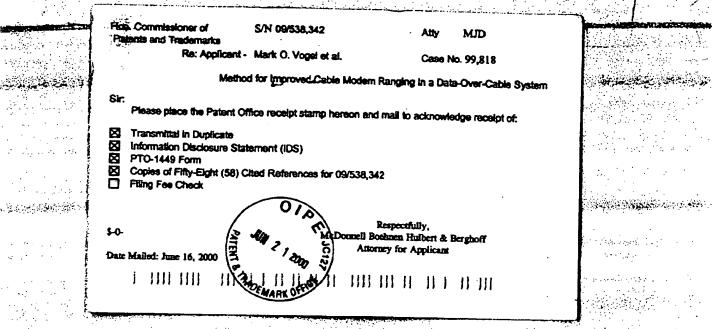
Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- (1) Transmittal Letter in duplicate;
- (2) Seventh Supplemental Information Disclosure Statement;
- (3) Seventh Supplemental Form PTO-1449; and
- (4) 5 Cited References.

Fee Enclosed

Respectfully, McDonnell Boehnen Hulbert & Berghoff Attorney for Applicant

\$_180.00 Date Mailed: April 9th, 2003



BEST AVAILABLE COPY

BEST AVAILABLE COPY

Hon. Commissioner of

S/N: 09/538,342

Patents and Trademarks Re: Applicant – Mark O. Vogel et al.

Case No. 99,818

"Method for Improved Cable Modern Ranging in a Data-Over-Cable System"

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- (1) Transmittal Letter in duplicate;
- (2) Second Supplemental Information Disclosure Statement;
- (3) Second Supplemental Form PTO-1449; and
- (4) 6 Cited References.

Fee Enclosed

Respectfully, McDonnell Boehnen Hulbert & Berghoff

Date Mailed: March 6, 2002

Attorney for Applicant

Hon. Commissioner of

S/N: 09/538,342

GIL:hm Atty

Patents and Trademarks

Re: Applicant - Mark O. Vogel et al.

Case No. 99,818

"Method for Improved Cable Modern Ranging in a Data-Over-Cable System"

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

Transmittal Letter in duplicate;

(1) Third Supplemental Information Disclosure Statement;

(2) Third Supplemental Form PTO-1449; and (3)

7 Cited References. (4)

Fee Enclosed

Respectfully,
McDonnell Boehnen Hulbert & Berghoff Attorney for Applicant

Date Mailed: June 19, 2002

BEST AVAILABLE COPY

BEST AVAILABLE COPY

Hon. Commissioner of S/N: 09/538,342 TRB/gs Patents and Trademarks Re: Applicant - Vogel et al. Case No. 99,818 "Method For Improved Cable Modem Ranging In A Data-Over-Cable System" Sir: Please place the Patent Office receipt stamp harcon and mail to a the wire (1) Transmittal Letter in duplicate; Supplemental Information Disclosure Statem (2) (3) Supplemental Form PTO-1449; and (4) 76 Cited References. Respectfully DEMARKED OF THE PROPERTY OF THE P Fee Enclosed · Attorney for Applicant

Date Mailed: December 19, 2001

A STATE OF THE PARTY OF U.S. Department of Commerce Patent and Trademark Office THIRD SUPPLEMENTAL INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.

99,818

Serial No.

09/538,342

Applicant:

Mark O. Vogel et al.

Filing Date: March 29, 2000

Group: 2711

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
Seo	1	6,308,328	10-23-01	Bowcutt et al.	725	111	04-10-97
SEB	2	6,301,618	10-09-01	Sitaraman et al.	.709	227	09-11-00
Seta	3	6,301,223	10-09-01	Hrastar et al.	370	227	04-11-97
553	4	6,370,147	04-09-02	Beser	370	401	04-23-98
525	5	.6,351,773	02-26-02	Fijolek et al.	709	228	12-21-98

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

SEB	"Radio Frequency Interface Specification (Interim Specification) SP-RFI-I05-991105", MCNS Holdings, L.P., 1999, Pgs. ii to 202.			
563	7	"Radio Frequency Interface Specification (Interim Specification) SP-RFIv1.1-106-001215", MCNS Holdings, L.P., 2000, Pgs. ii to 432.		
EXAMINE	R	LtBL:	DATE CONSIDERED 2.114/03	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

MCDONNELL BOSINEN HULBERT & BERGHOFF 300 SOUTH WACKER DRIVE CHICAGO, ILLINOIS 80606 TELEPHONE (312) 813-0001

Form PTO-1449 (modified)

Liston Patents and Publications for Applicant's

SUPPLEMENTAL ORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No. 99,818

Serial No. 09/538,342

Applicant

Vogel et al.

Filing Date: 03/29/2000

Group: 2711

U.S. Patent Documents See Page 1

Foreign Patent Documents See Page 4

Other Art See Page 4

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
5FB	Al	4,644,533	02/17/1997	Braff et al.	370	94	05/06/1985
1B)	A2	4,881,263	11/14/1989	Herbison et al.	380	21	09/25/1987
	A3	4,996,685	02/26/1991	Farese et al.	370	58.1	04/10/1989
583	A4	5,301,273	04/05/1994	Konishi	395	200	06/18/1991
5 4 3	A5	5,430,727	07/04/1995	Callon	370	85.13	05/19/1994
9 56 6	A6	5,598,410	01/28/1997	Stone	370	469	12/29/1994
156 5	A7	5,610,910	03/11/1997	Focsaneanu et al.	370	351	08/17/1995
5EB	A8	5,675,742	10/07/1997	Jain et al.	395	200	06/26/1995
5°68	A9	5,708,654	01/13/1998	Arndt et al.	370	242	11/27/1996
5B	A10	5,724,510	03/03/1998	Arndt et al.	395	200.5	09/06/1996
563	A11	5,790,770	08/04/1998	McClure et al.	395	200.61	07/18/1996
563	A12	5,790,806	08/04/1998	Koperda	395	200.82	04/03/1996
TEB	A13	5,793,747	08/11/1998	Kline	370	230	03/14/1996
563	A14	5,809,252	09/15/1998	Beighe et al.	395	200.57	12/13/1996
EB	A15	5,819,028	10/06/1998	Manghirmalani et al.	395	185.1	04/16/1997
5 68 5	A16	5,828,666	10/27/1998	Focsaneanu et al.	370	389	03/06/1997
66	A17	5,835,720	11/10/1998	Nelson et al.	395	200.54	05/17/1996
5 <i>G</i> b	A18	5,841,777	11/24/1998	Cohen	370	433	08/30/1996
5565	A19	5,852,721	12/22/1998	Dillon et al.	395	200.47	02/07/1997
5 <i>6</i> 3	A20	5,894,479	04/13/1999	Mohammed	370	401	12/10/1996
503	A21	5,903,558	05/11/1999	Jones et al.	370	351	06/28/1996

Examiner: hab	DATE CONSIDERED: 2/14/0
---------------	-------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

orm PTO-1449 (modified)

Atty. Docket No. 99,818

Serial No. 09/538,342

istrof Patents and Publications for Applicant's

Applicant

e 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Vogel et al.

(Use several sheets if necessary)

Filing Date: 03/29/2000

Group: 2711

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 4

Other Art See Page 4

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
500	A22	5,909,549	06/01/1999	Compliment et al.	709	223	11/12/1996
500	A23	5,913,037	06/15/1999	Spofford et al.	395	200.56	07/03/1996
San	A24	5,915,119	06/22/1999	Cone	395	750.02	10/01/1996
543	A25	5,922,051	07/13/1999	Sidey	709	223	05/14/1997
500	A26	5,943,604	08/24/1999	Chen et al.	455	5.1	10/31/1997
585	A27	5,954,797	09/21/1999	Sidey	709	223	05/14/1997
180	A28	5,982,748	11/09/1999	Yin et al.	370	232	10/03/1996
5FB	A29	5,987,524	11/16/1999	Yoshida et al.	709	245	09/30/1997
583	A30	5,991,292	11/23/1999	Focsaneanu et al.	370	352	03/06/1997
4 4 0	A31	5,991,306	11/23/1999	Burns et al.	370	429	08/26/1996
56B	A32	5,999,536	12/07/1999	Kawafuji et al.	370	401	11/24/1997
583	A33	6,003,077	12/14/1999	Bawden et al.	709	223	09/15/1997
585	A34	6,005,851	12/21/1999	Craddock et al.	370	329	10/10/1997
583	A35	6,006,264	12/21/1999	Colby et al.	709	226	03/30/1998
43)	A36	6,014,545	01/11/2000	Wu et al.	455	3.1	03/27/1997
548	A37	6,031,841	02/29/2000	Woundy	370	410	12/23/1997
56B	A38	6,041,041	03/21/2000	Ramanathan et al.	370	241	04/15/1997
588	A39	6,049,546	04/11/2000	Ramakrishnan	370	412	10/15/1996
585	A40	6,049,825	04/11/2000	Yamamoto	709	221	12/15/1997
565	A41	6.052,724	04/18/2000	Willie et al.	709	223	09/02/1997
经)	A42	6,061,349	05/09/2000	Coile et al.	370	389	05/02/1997

EXAMINER:	1.	M	l :_
	• •	eviu x	<u> </u>

DATE CONSIDERED:

2/14/03

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

orm PTO-1449 (modified)

Patents and Publications for Applicant's

(Use several sheets if necessary)

SUPPLEMENTAL
NFORMATION DISCLOSURE STATEMENT

Atty. Docket No. 99,818

Serial No. 09/538,342

Applicant

Vogel et al.

Filing Date:

03/29/2000

Group: 2711

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 4

Other Art See Page 4

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A43	6,065,049	05/16/2000	Beser	709	218	02/04/1998
500 546	A44	6,070,187	05/30/00	Subramaniam et al.	709	220	03/26/1998
SEB	A45	6,070,242	05/30/2000	Wong et al.	713	201	12/09/1996
583	A46	6,073,178	06/06/2000	Wong et al.	709	229	12/09/1996
583	A47	6,075,787	06/13/2000	Bobeck et al.	370	395	05/08/1997
	A48	6,091,709	07/18/2000	Harrison et al.	370	235	11/25/1997
5EB 5EB_	A49	6,094,431	07/25/2000	Yamato et al.	370	395	11/29/1996
56B	A50	6,104,700	08/15/2000	Haddock et al.	370	235	02/03/1998
568	A51	6,112,258	08/29/2000	Miller et al.	710	19	03/19/1998
560	A52	6,122,254	09/19/2000	Aydemir et al.	370	235	11/25/1997
50B	A53	6,128,298	10/03/2000	Wootton et al.	370	392	10/03/2000
565	A54	6,130,879	10/10/2000	Liu	370	230	09/22/1997
	A55	6,130,880	10/10/2000	Naudus et al.	370	235	03/20/1998
190_	A56	6,137,792	10/24/2000	Jonas et al.	370	354	06/14/1996
548) 548)	A57	6,137,793	10/24/2000	Gorman et al.	370	360	12/05/1997
	A58	6,148,410	11/14/2000	🛱 askey et al.	714	4	09/15/1997
<u>568)</u> 8D	A59	6,157,965	12/05/2000	Mohammed et al.	710	8	02/27/1998
593	A60	6,170,061	01/02/2001	Beser	713	201	02/04/1998
563	A61	6,178,455	01/23/2001	Schuttte et al.	709	228	04/11/1997
550	A62	6,185,624	02/06/2001	Fijolek et al.	709	239	02/04/1998
5€6	A63	6,189,102	02/13/2001	Beser	713	201	05/27/1998
350 350	A64	6,208,656	03/27/2001	Hrastar et al.	370	401	04/11/1997

Examiner:	1 Ath 1
	/# /WB L I

DATE CONSIDERED:

2/14/02

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

U.S. Patent Do	PPLEMENTAL DISCLOSURE S ral sheets if necession	TATEMENT ary) Foreign	Atty. Docket No. 99,818 Applicant Vogel et al. Filing Date: 03/29/2000 Patent Documents See Page 4 Tent Docume Name Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar			her Art 2 Page 4
Sup	PPLEMENTAL DISCLOSURE Stral sheets if necessary procuments at 1 Document Number 6,212,563 6,216,171 6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	TATEMENT ary) Foreign U.S. Pat Date 04/03/2001 04/10/2001 04/24/2001 05/29/2001 07/10/2001 07/131/2001 08/07/2001	Vogel et al. Filing Date: 03/29/2000 Patent Documents See Page 4 tent Docume Name Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Sub Class 227 250 227 250 241 389	Filing Date of App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
U.S. Patent Do. See Page	DISCLOSURE Siral sheets if necessary focuments in the sheets of necessary in the sheet of	U.S. Pat Date 04/03/2001 04/10/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Filing Date: 03/29/2000 Patent Documents See Page 4 Tent Docume Name Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Sub Class 227 250 227 250 241 389	Filing Date of App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
U.S. Patent Do. See Page	Document Number 6,212,563 6,216,171 6,223,222 6,240,464 6,269,099 6,272,150	U.S. Pat Date 04/03/2001 04/10/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Patent Documents See Page 4 tent Docume Name Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Sub Class 227 250 227 250 241 389	Filing Date of App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
U.S. Patent Dos See Page U.S. Patent Dos See Page Exam. Ref. Des. A65 A65 A67 A68 A69 A70 A71 A71 A73 Exam. Ref. Des. B1 B2	Document Number 6,212,563 6,216,171 6,223,222 6,240,464 6,269,099 6,272,150	U.S. Pat Date 04/03/2001 04/10/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Patent Documents See Page 4 tent Docume Name Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Sub Class 227 250 227 250 241 389	Filing Date of App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
U.S. Patent Doi See Page Exam. Ref. Des. A65 A65 A66 A67 A68 A69 A70 A71 A72 A73 Exam. Ref. Des. B1 B2	Document Number 6,212,563 6,216,171 6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	U.S. Pat Date 04/03/2001 04/10/2001 04/24/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Patent Documents See Page 4 tent Docume Name Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Sub Class 227 250 227 250 241 389	Filing Date of App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
See Page See Page	Document Number 6,212,563 6,216,171 6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	U.S. Pate 04/03/2001 04/10/2001 04/24/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	See Page 4 tent Docume Name Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Sub Class 227 250 227 250 241 389	Filing Date of App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
Exam. Ref. Des. 560 A65 560 A66 560 A67 560 A68 560 A70 560 A70 560 A71 560 A72 400 A73 Exam. Ref. Des. B1 B2	Document Number 6,212,563 6,216,171 6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	04/03/2001 04/10/2001 04/24/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Class 227 250 227 250 241 389	App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
Init. Des. Solution A65 Solution A65 Solution A67 Solution A68 Solution A69 Solution A70 Solution A71 Solution A73 Exam. Ref. Des. Init. B1 B2	6,212,563 6,216,171 6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	04/03/2001 04/10/2001 04/24/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 709 370	Class 227 250 227 250 241 389	App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
Init. Des. Solution A65 Solution A65 Solution A67 Solution A68 Solution A69 Solution A70 Solution A71 Solution A73 Exam. Ref. Des. Init. B1 B2	6,212,563 6,216,171 6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	04/03/2001 04/10/2001 04/24/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Beser Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 709 370	Class 227 250 227 250 241 389	App. 10/01/1998 02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
560 A65 560 A66 560 A67 563 A68 563 A69 563 A70 563 A71 563 A72 363 A73 Exam. Init. Ref. Des. B1 B2	6,216,171 6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	04/10/2001 04/24/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Isono et al. Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 709 370	250 227 250 241 389	02/11/1998 05/14/1998 02/04/1998 06/12/1997 07/01/1998
\$\frac{1}{2}\text{C}\$ \$A66 \$\frac{1}{2}\text{C}\$ \$A67 \$\frac{1}{2}\text{C}\$ \$A68 \$\frac{1}{2}\text{C}\$ \$A69 \$\frac{1}{2}\text{C}\$ \$A70 \$\frac{1}{2}\text{C}\$ \$A71 \$\frac{1}{2}\text{C}\$ \$A72 \$\frac{1}{2}\text{C}\$ \$A73 Exam. Init. Des. B1 B2	6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	04/24/2001 05/29/2001 07/10/2001 07/31/2001 08/07/2001	Fijolek et al. Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 709 370	227 250 241 389	05/14/1998 02/04/1998 06/12/1997 07/01/1998
500 A67 500 A68 500 A69 500 A70 500 A71 500 A72 500 A73 Exam. Init. Ref. Des. B1 B2	6,223,222 6,240,464 6,260,072 6,269,099 6,272,150	05/29/2001 07/10/2001 07/31/2001 08/07/2001	Fijolek et al. Rodriguez-Moral Borella et al. Hrastar	709 709 370	250 241 389	02/04/1998 06/12/1997 07/01/1998
## A68 ## A69 ## A70 ## A71 ## A72 ## A73 Exam. Ref. Des. B1 B2	6,240,464 6,260,072 6,269,099 6,272,150	07/10/2001 07/31/2001 08/07/2001	Rodriguez-Moral Borella et al. Hrastar	709 370	241 389	06/12/1997 07/01/1998
563 A69 563 A70 563 A71 563 A72 473 Exam. Init. Ref. Des. B1 B2	6,260,072 6,269,099 6,272,150	07/10/2001 07/31/2001 08/07/2001	Borella et al. Hrastar	370	389	07/01/1998
563 A70 563 A71 563 A72 433 A73 Exam. Init. Des. B1 B2 B2	6,269,099 6,272,150	08/07/2001	Hrastar			
565 A71 566 A72 465 A73 Exam. Init. Ref. Des. B1 B2	6,272,150		<u> </u>	370	486	05/05/1997
Fab A72 4Bb A73 Exam. Init. Ref. Des. B1 B2		08/14/2001	70			
### A73 Exam. Ref. Des.	0,2,5,55	1 00/17/2001	Beser et al.	709	223	05/27/1998
Exam. Ref. Des. B1 B2	6,295,554	09/25/2001	Karadogan et al.	709	219	05/27/1998
Init. Des. B1 B2		Foreign	Patent Docui	nents		
B1 B2	Document	Date	Country	Class	Sub Class	Translatio Yes/No
B2	Number	 		 		1
		 				Bolouin
ו גם ו					+	Technology Cen
			-	+		8
B4				+		TO CO
B5			or, Title, Dat			F1- 8
Other A	Art (Includ	ding Auth	or, Title, Dat	e Pert	nent Pa	ges, Etc.P
Exam. Ref. Init. Des.						
565 C1	Kyees et al., 'Communicati	"ADSL: A New ion Magazine, '	/ Twisted-Pair Acces Vol. 33, Issue 4, Apr	s To The	information I ges 52-60.	Highway", IEEE
Examiner: /	•		DATEC	ONSIDE	ED: - 1.	1/03

PTO-1449 (modified)	Atty. Doci 99,818	09/538,342
PTO-1449 (modified) Patents and Publications for A SUPPLEMENTAL FORMATION DISCLOSURE ST	Vogel et a	
(Use several sheets if necessar	Filing Dat	
(Use several sheets if necessar J.S. Patent Documents See Page 1	Foreign Patent Docu See Page 4	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
450	C2	Huang et al., "Design Of An MPEG-Based Set-Top Box For Video On Demand Services, Acoustics, Speech, And Signal Processing", 1995 International Conference, ICASSP-95, Vol. 4, ISBN:0-7803-2431-5, May 9-12, 1995, pages 2655-2658.
900	C3	"A Solution For The Priority Queue Problem Of Deadline-Ordered Service Disciplines", N.R. Figueira, IEEE International Conference On Computer Communications And Networks, September 22-25, 1997, pages 320-325.
	C4	
	C5	
	C6	
	C7	
	C8	
	C9	

RECEIVED

JEN 1 1 2

Technology Center 2600

EXAMINER: / # /	DATE CONSIDERED:	2/14/6

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

- 1449 (2)

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. Serial No.

99,818

09/538,342

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

JUN 2 1 2000 BY TRADEWARE

Applicant:

Mark O. Vogel, et al.

Filing Date:

Group:

3/29/00

2711

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1	5.014,234	05-07-91	Edwards, Jr.	364	900	08-25-86
5EB	2	5,138,712	08-11-92	Corbin	395	700	10-02-89
400	3	5,347,304	09-13-94	Moura et al.	348	12	07-28-93
5£\$	+-1	5,442,749	08-15-95	Northcutt et al.	395	200.09	07-09-93
763	4	5,487,180	01-23-96	Ohtake	455	54.1	03-17-94
785	5	5,488,412	01-30-96	Majeti et al.	348	10	03-31-94
560_	6		02-06-96	Inoue	340	870.39	04-13-93
5EB	7	5,489,897	12-10-96	Schneider et al.	379	399	07-14-95
500	8	5,583,931	12-17-96	Moura et al.	370	404	04-21-95
963	9_	5,586,121	02-04-97	Schneider et al.	379	399	06-14-96
500	10	5,600,717		Schneider et al.	379	399	06-14-96
·160	11	5,606,606	02-25-97		348	6	05-09-95
105	12	5,608,446	03-04-97	Carr et al.		 	06-14-96
2ED	13	5,623,542	04-22-97	Schneider et al.	379	399	+
56)	14	5,623,601	04-22-97	Vu	395	187.01	11-21-94
960	15	5,636,211	06-03-97	Newlin et al.	370	465	8-15-95
160	16		10-07-97	Majeti et al.	395	200.01	05-08-95
5 58	17		01-20-98	Bondi	395	200.54	11-28-95

	•	DATE CONSIDERED
EXAMINER 1 4 TO 1	•	7/14/63
At Bel	_	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

MCDONDIELL BOSHNEN HILLDERT-S-SERGHOFF 200 SOUTH WACKER DRIVE CHECAGO, ILLINOIS 60606 TELEPHONE (312) 913-0001 0-1449 /32)

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. Serial No.

99,818

09/538,342

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Mark O. Vogel, et al.

Filing Date:

Group:

3/29/00

2711

U.S. PATENT DOCUMENTS

xaminer initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
絕	18	5,761,602	06-02-98	Wagner et al.	455	3.1	09-13-95
1B	19	5,778,181	07-07-98	Hidary et al.	395	200.48	03-14-96
1 4 8	20	5,790,198	08-04-98	Roop, et al.	348	460	05-04-94
酒	21	5,790,548	08-04-98	Sistanizadeh, et al.	370	401	04-18-96
165	22	5,790,677	08-04-98	Fox, et al.	380	24	06-29-95
7 40	23	5,799,086	08-25-98	Sudia	380	23	02-19-97
	24	5,805,804	09-08-98	Laursen, et al.	395	200.02	03-12-97
<u>168</u>	25	5,812,819	09-22-98	Rodwin et al.	395	500	06-05-95
58b	26	5,818,845	10-06-98	Moura, et al.	370	449	01-18-96
	27	5.819.042	10-06-98	Hansen	395	200.52	02-20-96
<u> 40 </u>	28	5,828,655	10-27-98	Moura, et al.	370	236	08-21-96
28	29	5,835,727	11-10-98	Wong et al.	395	200.68	12-09-96
10B	30	5,854,901	12-29-98	Cole, et al.	709	245	07-23-96
始	31	5,859,852	01-12-99	Moura, et al.	370	449	08-27-96
始	32	5,864,679	01-26-99	Kanai, et al.	709	238	05-21-97
5408	33		02-09-99	Laubach, et al.	348	12	03-04-97
5色 5的	34		02-16-99	Dellaverson, et al.	340	825.52	03-12-96

	DATE CONSIDERED
(AMINER , , ,) , _	DATE CONSIDERED
	z))4/az
hattal	2/14/03
'AMI M	

AMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through ation if not in conformance and not considered. Include copy of this form with next communication.

NNELL BOEHNEN ENT & BERGHOFF OUTH WACKER DRIVE IGO, ILLINDIS 80808 HONE (312) 913-0001 90-1449 32) U.S. Department of Commerce Patent and Trademark Office Atty. Docket No.

Serial No.

99,818

09/538,342

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

...)

(Use several sheets if necessary)

JUN 2 1 2000

Applicant:

Mark O. Vogel, et al.

Filing Date:

Group:

3/29/00

2711

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
4 4 0	35	5,884,024	03-16-99	Lim et al.	395	187.01	12-09-96
45	36	5,922,049	07-13-99	Radia, et al.	709	220	12-09-96
	37	5,923,659	07-13-99	Curry, et al.	370	401	09-20-96
965	38	5,958,007	09-28-99	Lee et al.	709	219	05-13-97
<u>18</u>	39	5,974,453	10-26-99	Anderson et al.	709	220	10-08-97
978	40	5,996,076	11-30-99	Rowney et al.	713	201	02-19-97
548	+-		12-28-99	Woundy	370	401	12-23-97
150	41	6,009,103	01-04-00	Li et al.	709	219	12-10-96
码	42	6,012,088	01-11-00	Blackshear et al.	703	229	10-06-97
10)	43	6,013,107			709	218	02-24-98
553	44	6,018,767	01-25-00	Fijolek et al.		 	
553	45	6,049,826	04-11-00	Beser	709	222	02-04-98
烟	46	6,058,421	05-02-00	Fijolek et al.	709	225	02-04-98
950 950	47		05-30-00	Beser	713	201	02-04-98

	DATE CONSIDERED
EXAMINER	
Malin	2/14/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

10-1449 2-32)

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No.

Serial No.

99,818

09/538,342

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Jour 10 1	PEG
是期 2	1 2000
133	KE)
RADI	EMARIN

Mark O. Vogel, et al.

Filing Date:

Group:

3/29/00

2711

FOREIGN PATENT DOCUMENTS

						Translation	
	Document Number	Date	Country	Class	Subclass		
	Doddinant name					Yes	No
+++							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

15 B	48	"Baseline Privacy Interface Specification (Interim) SP-BPI-I01-970922", MCNS Holdings, L.P., 1997, Pgs. li to 65.
SEB	49	"Security System Specification (Interim Specification) SP-SSI-I01-970506", MCNS Holdings, L.P., 1997, Pgs. ii to 103.
480	50	"Cable Modem to Customer Premise Equipment Interface Specification (Interim) SP-CMCI-I02-980317", Multimedia Cable Network Systems (MCNS) Holdings, L.P., Cable Television Laboratories, Inc., 1998, Pgs. ii to 40.
5 28	51	"Operations Support System Interface Specification Baseline Privacy Interface MIB (Interim Specification) SP-OSSI-BPI-I01-980331", MCNS Holdings, L.P., 1997 and 1998, Pgs. ii to 33.
566	52	"Cable Modern Termination System-Network Side Interface Specification (Interim Specification) SP-CMTS-NSII01-960702", MCNS Holdings, L.P., 1996, Pgs. ii to 13.
543	53	"Removable Security Module Interface Specification (Interim Specification) SP-RSMI-I01-980204", MCNS Holdings, L.P., Cable Television Laboratories, Inc., 1997, Pgs. ii to 47.
183	54	"Operations Support System Interface Specification (Interim) SP-OSSII01-970403", MCNS Holdings, L.P., 1997, Pgs. 1 to 30.
细	55	"Radio Frequency Interface Specification (Interim Specification) SP-RFI-I02-971008", MCNS Holdings, L.P., 1997, Pgs. ii to 186.

		DATE CONSIDERED
XAMINER ,		l , ,
1.	101:	2/14/q3
/*\a	Y U ! R / -	

CAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through tation if not in conformance and not considered. Include copy of this form with next communication.

XONNELL BOENNEN BERT & BERGHOFF SOUTH WACKER DRIVE CAGO, ILLINOIS 80808 EPHONE (312) 913-0001

)	ro- 32)	54	4
7				

U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

JUN 2 1 2000 P

Atty. Docket No.	Serial No.
99,818	09/538,342

Applicant:

7

Mark O. Vogel, et al.

Filing Date:

Group:

3/29/00

2711

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

40	56	"Cable Modem Telephony Return Interface Specification (Interim) SP-CMTRI-I01-970804", MCNS Holdings, L.P., Cable Television Laboratories, Inc., 1997, Pgs. ii to 73.
568	57	"Dynamic Host Configuration Protocol (DHCP)", Request for Comments 2131, Internet Engineering Task Force, March 1997, Pgs. 1 to 42.
540	58	*IPCDN Telephony Return MIB*, IPCDN Working Group, Internet Engineering Task Force, March 1998, Pgs. 1 to 26.

CVANINED	DATE CONSIDERED
EXAMINER L.	z/14/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Hon. Commissioner of

S/N: 09/538,342

EP:hm

Patents and Trademarks

Re: Applicant - Mark O. Vogel et al.

Case No. 99,818

"Method for Improved Cable Modem Ranging in a Data-Over-Cable System"

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- (1) Transmittal Letter in duplicate;
- Seventh Supplemental Information Disclosure Statement; (2)
- Seventh Supplemental Form PTO-1449; and (3)
- 5 Cited References.

Fee Enclosed

Respectfully,
McDonnell Boehnen Hulbert & Bergho
Attorney for Applicant

\$ 180.00 Date Mailed: April 9th

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.